

STATUTORY DISCLOSURE

Business details

Provider's Business Name: AMcLagan Financial Management Services T/A
AMAC Financial Management Services
Registration Number: 1999/062/143/23
FPS Licence: 19741
Postal Address: PO Box 118, Newlands, 7725
Physical Address: 102 Palmyra Junction, Palmyra Road, Claremont, 7708
Telephone Number: 021 422 2566
Fax Number: 086 651 2807
Email Address: alison@a-mac.co.za

Names and contact details

Name: Alison McLagan
Position: CERTIFIED FINANCIAL PLANNER
Telephone Number: 021 422 2566
Fax Number: 086 651 2807
Email Address: alison@a-mac.co.za

Concise details of relevant experience:

Employed in the financial services industry for more than 14 years.
Member of the Financial Planning Institute of South Africa and is a Certified Financial Planner.

Employment History includes:

TMA Investment Product Services – linked product provider. Administration and business development officer.

Liberty Life – life office. Broker Consultant.

Plexus Asset Management – portfolio management of unit trust and share portfolios.
Client executive.

Grant Thornton Financial Planning Services – independent financial advisor.

McLagan Harvey and Associates – financial management services. Member and independent financial advisor.

Legal and Contractual Status

AMcLagan Financial Management Services is a close corporation which has 1 principle member.

AMcLagan Financial Management Services operates as an independent financial advisor. No representatives have been appointed.

Compliance Department:

Name: Moonstone Compliance (Pty) Ltd

Tel & Fax number: 021 883 8000 & 086 615 3311

Postal Address: PO Box 12662, Die Boord, Stellenbosch, 7613.

Financial Services for which authorised

In terms of the licence issued to AMcLagan Financial Management Services by the Registrar, we are authorised to provide financial services in respect of the following categories of financial products:

Category Description	Advice	Intermediary
CATEGORY I		
Long-Term Insurance : Category A	X	X
Long-Term Insurance : Category B1	X	X
Long-Term Insurance : Category B2	X	X
Long-Term Insurance : Category C	X	X
Retail Pension Benefits	X	X
Securities and Instruments :Shares	X	X
Securities and Instruments : Money market instruments	X	X
Participatory interests in Collective Investment Schemes	X	X
Health Service Benefits	X	X
Deposits Defined in the Banks Act - exceeding 12 months	X	X
Deposits defined in the Banks act - 12 months or less	X	X

Names of insurers from which the intermediary received 30% or more of total commission and remuneration during the past calendar year

No more than 30% commission received from one insurer

Shareholding in insurers if 10% or more

No shareholding in insurers

Professional indemnity

- AMac Financial Management services does not hold guarantees as contemplated in Part 4 of the Regulations promulgate under the Short Term Insurance Act 1988
 - AMac Financial Management services does not hold professional indemnity insurance
 - AMac Financial Management services does not hold fidelity insurance cover
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Information on Product Suppliers

You are hereby notified that the provider is a properly accredited and lawfully appointed intermediary of the following product suppliers.

Product Suppliers

- Product Suppliers Name: ALLAN GRAY
- Postal address: PO BOX 51605, WATERFRONT, CT,8002
- Telephone number: 021 415 2690

- Product Suppliers Name: ALLAN GRAY/BoEIPS OFFSHORE
- Postal address: PO BOX 1510, CAPE TOWN, 8000
- Telephone number: 021 416 6198

- Product Suppliers Name: ABSA INVESTMENT MANAGEMENT SERVICES
- Postal address: PO BOX 974, JOHANNESBURG
- Telephone number: 011 259 0111

- Product Suppliers Name: ABSA FUND MANAGERS (UNIT TRUST)
- Postal address: GROUND FLOOR, BLOCK C, 65 EMPIRE ROAD, PARKTOWN, JOHANNESBURG
- Telephone number: 011 480 5478

- Product Suppliers Name: ALTRISK/HOLLARD LIFE
- Postal address: PO BOX 412506, GRAIGHALL, 2024
- Telephone number: 011 326 0112

- Product Suppliers Name: BOND STREET FINANCAIL SERVICES
- Postal address: 2ND FLOOR, ARCADE, DEAN STREET, NEWLANDS, 7701
- Telephone number: 021 689 6908

- Product Suppliers Name: CORONATION
- Postal address: PO BOX 993, CAPE TOWN, 8000
- Telephone number: 021 680 2022

- Product Suppliers Name: DISCOVERY HEALTH MEDICAL SCHEME
- Postal address: PO BOX 786722, SANDTON, 2146
- Telephone number: 021 686 0082

- Product Suppliers Name: DISCOVERY LIFE
- Postal address: PO BOX 786722, SANDTON, 2146
- Telephone number: 021 686 0082

- Product Suppliers Name: FIDELITY INVESTMENTS
- Postal address: PRIVATE BAG X6, NEWLANDS, 7725
- Telephone number: 021 659 5301

- Product Suppliers Name: FUTUREGROWTH
- Postal address: PRIVATE BAG X6, NEWLANDS, 7725
- Telephone number: 021 659 5301

- Product Suppliers Name: INVESTEC
- Postal address: PO BOX 758700, SANDTON, 2146
- Telephone number: 0860 500 100

- Product Suppliers Name: LIBERTY LIFE
- Postal address: PO BOX 2141, CAPE TOWN, 8001
- Telephone number: 021 551 7710

- Product Suppliers Name: MOMENTUM GROUP LIMITED
- Postal address: PO BOX 7400, CENTURION, 0046

- Telephone number: 012 671 8911

- Product Suppliers Name: MOMENTUM HEALTH
- Postal address: PO BOX 7400, CENTURION, 0046
- Telephone number: 012 671 8911

- Product Suppliers Name: MOMENTUM HEALTH
- Postal address: PO BOX 7400, CENTURION, 0046
- Telephone number: 012 671 8911

- Product Suppliers Name: MOMENTUM WEALTH
- Postal address: PO BOX 7400, CENTURION, 0046
- Telephone number: 012 671 8911

- Product Suppliers Name: MOMENTUM TRUST
- Postal address: PO BOX 7400, CENTURION, 0046
- Telephone number: 012 671 8911

- Product Suppliers Name: MOMENTUM MULTIPLY
- Postal address: PO BOX 7400, CENTURION, 0046
- Telephone number: 012 671 8911

- Product Suppliers Name: MOMENTUM SIMPLICITY FIRSTRAND
- Postal address: PO BOX 7400, CENTURION, 0046
- Telephone number: 012 671 8911

- Product Suppliers Name: OASIS CRESCENT MANAGEMENT
- Postal address: PO BOX 1217, CAPE TOWN, 8000
- Telephone number: 021 413 7860

- Product Suppliers Name: PSG FUTURE WEALTH
- Postal address: 1ST FLOOR, 28 FRICKER ROAD, ILLOVO
- Telephone number: 0800 117 180

- Product Suppliers Name: PPS
- Postal address: PO BOX 1089, HOUGHTON, 2041
- Telephone number: 011 644 4200

- Product Suppliers Name: SANLAM LIFE
 - Postal address: PO BOX 569, SANLAM HOF, 7532
 - Telephone number: 021 940 8911

 - Product Suppliers Name: SANLAM UNIT TRUST
 - Postal address: PO BOX 569, SANLAMHOF, 7532
 - Telephone number: 021 940 8911

 - Product Suppliers Name: SANLAM PERSONAL PORTFOLIOS
 - Postal address: PO BOX 569, SANLAMHOF, 7532
 - Telephone number: 021 940 8911

 - Product Suppliers Name: STANLIB
 - Postal address: PO BOX 569, SANLAMHOF, 7532
 - Telephone number: 021 671 1607

 - Product Suppliers Name: STANDARD BANK OFFSHORE
 - Postal address: PO BOX 586, WAVERLY PLACE,
ST HEIFER, JERSEY JE4 8XR CHANNEL ISLANDS
 - Telephone number: 44 (0) 1534 881188

 - No conditions or restrictions have been imposed by any of the above product suppliers
 - AMac Financial Management Services does not directly or indirectly hold more than 10% of any of the product suppliers shares.
 - AMac Financial Management Services does not directly or indirectly hold any equivalent substantial financial interest in any of the product suppliers.
 - During the preceding 12 month period, AMac Financial Management Services did not receive more than 30% of the total remuneration, including commission, from any single product supplier.
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Complain Resolution System and Procedures

Please note that we have established a written internal complain resolution system with detailed procedures. Access to the written procedures and a cop of the complain resolution system is available to clietns at the office during office hours each day.

Signing of incomplete documents

You are hereby advised and cautioned that no person acting on behalf of AMac Financial Management Services may in the course of the rendering of financial service request you to sign any written or printed form or document unless all details required to be inserted thereon by you or on behalf have already been inserted.

Waiver of Rights

You are hereby advised that no representative of AMac Financial Management Services or any other person may ask you or offer any inducement to you to waive any right or benefit conferred on you by or in terms of any provision of the General Codes of Conduct. A copy of the Code of Conduct is available on request.

Applicable exemptions

- Exemption of authorised financial service providers from sections 19 (2)(a) and 19(2)(b)(i) of the Act, subject to section (19)(2)(b)(iv) of the Act. (Board Notice 98 of 2003, Paragraph 3.1)
- Exemption of financial service providers from paragraph 5(2) of the Fit and Proper Requirements. (Board Notice 96 of 2003)
- Exemption of financial service providers as regards to representatives. (Board Notice 96 of 2003)
- Exemption of licences as regards display certified copies of all licences. (Board Notice 40 of 2004)